

NATIONAL CONTACT POINT REPORTING QUESTIONNAIRE 2021

Annex

This document is to provide detailed information on **NCP promotional activities (Part 1 below)** and on **specific instances (Part 2 below)**.

INSTRUCTIONS

This document should be completed and saved locally on a computer and uploaded from the same computer at the end of the online questionnaire in the section “**Annex: NCP Promotional activities & Specific Instances**” (after question 61).

Please note that only **Word files** (.doc or .docx) can be uploaded.

Guidance and instructions on how to complete the questionnaire ([here](#)) and the annex ([here](#)) are accessible on the NCP O.N.E Community Space.

HELP & ASSISTANCE

Please contact jean-francois.leruste@oecd.org and emily.halstead@oecd.org for any help or assistance to complete the questionnaire.

STRUCTURE

Part 1: NCP PROMOTIONAL ACTIVITIES

Part 2: SPECIFIC INSTANCES

Please enter country name of NCP:

PART 1: NCP PROMOTIONAL ACTIVITIES

Please provide information on the events organised or co-organised by the NCP (Table 1), and events in which the NCP has participated to promote the Guidelines (Table 2).

Please select the event type, size and type of audience as well as the theme from the dropdown menus for each event.

Please add additional lines if needed.

TABLE 1

NCP-organised and co-organised events to promote the Guidelines and/or the NCP

Title	Date (dd/mm/yyyy)	Location	Type of event	Size of audience	Organised or co-organised?	Targeted audience <i>e.g. Business representatives, NGOs, Trade unions, Academia, General public, Government representatives, etc.</i>	Theme <i>e.g. the OECD Guidelines, the NCP activities on sector due diligence guidance documents, etc.</i>
Due Diligence in the agriculture export supply chains	15-Jul-2021	Virtual	Webinar	50-100	Co-organised	Exporters, agriculture supply chain associations, economic agents involved in the export supply chain of banana, coffee, avocado, palm, Wood and cocoa.	Due diligence
Training on the OECD Guidelines for Multinational Enterprises	27-Sep-2021	Virtual	Webinar	10-50	Organised	Civil servants and contractors of the Ministry of Trade, Industry and Tourism	The OECD Guidelines for Multinational Enterprises
Conversation on the OECD Guidelines for Multinational Enterprises as an instrument to promote RBC: ¿What is the role of the Academia?"	18-Nov-2021	Virtual	Webinar	10-50	Co-organised	Faculties of Business administration and Business schools	The OECD Guidelines for Multinational Enterprises
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Additional comments:

Total number of events:

3

TABLE 2

Presentations by the NCP to promote the Guidelines and/or the NCP in events organised by others

Title	Date (dd/mm/yyyy)	Location	Type of event	Size of audience	Targeted audience <i>e.g. Business representatives, NGOs, Trade unions, Academia, General public, Government representatives, etc.</i>	Organiser(s)	Theme of the intervention
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Click here to enter text.	Click here to enter a date.	Click here to enter text.	Choose an item.	Choose an item.	Click here to enter text.	Click here to enter text.	Click here to enter text.

Additional comments:

Total number of events:

PART 2: SPECIFIC INSTANCES

Please provide details of the following in the table below:

1. Specific instances **in progress** during the year (specific instances received and pending initial assessment, or found to merit further examination after the initial assessment and under consideration by the NCP).
2. Specific instances **concluded** during the year (specific instances that the NCP found to merit further examination after the initial assessment and that have subsequently been closed).
3. Specific instances **not accepted** during the year (specific instances that the NCP found not to merit further examination after initial assessment).
4. Specific instances for which **follow up** was performed during the year (the NCP monitored whether the agreement reached by the parties or its recommendations to the parties have been implemented)

Please add additional lines if needed.

We encourage all NCPs to inform the OECD Secretariat on received specific instances and to provide a final statement as soon as it becomes available, in order to keep the OECD database updated at all times. For all specific instances that have not yet been reported to the OECD Secretariat, please complete and send this [form](#) to ncp-specific-instances@oecd.org¹.

NOTE: On 18th February 2021, the Colombian NCP received a specific instance by a Trade Union against a multinational enterprise of the manufacturing sector with its headquarters in the UK. Since then the Colombian NCP contacted the UK NPC. Both agreed that the Colombian NCP would handle the specific instance. The submission was not complete according to the conditions set out in the Colombian Decree governing the handling of specific instances by the Colombian NCP (Decree 1400 of 2012). So it requested the submitter so submit the information that was pending. Such request was made four times but unfortunately the information was not received. The Decree 1400 sets out that in case the submitter does not send the pending information within a period of time of 10 working days, the NCP may declare the inadmissibility of the specific instance. The Colombian NCP made the request on 11th March 2021, 27th April 2021, 26th May 2021 and 21st July 2021. Therefore, the referred 10 working days had expired since the end of March 2021. Taking into account that the Colombian NCP gave a broad window of opportunity to the submitter to submit the pending information, thus going beyond what is established in Decree 1400, and such information was not received, on 1st September 2021 the Colombian NCP sent a communication to the submitter informing that the specific instance was declared inadmissible due to the lack of information (Article 15 of Decree 1400). Such decision, as well as all the actions taken by the Colombian NCP in the handling of this specific instance, were informed to the UK NCP.

¹ According to paragraph 42 of the Commentary on the Implementation Procedures of the OECD Guidelines for Multinational Enterprises, “[...] NCPs will report to the Investment Committee in order to include in the Annual Report on the OECD Guidelines information on all specific instances that have been initiated by parties, including those that are in the process of an initial assessment, those for which offers of good offices have been extended and discussions are in progress, and those in which the NCP has decided not to extend an offer of good offices after an initial assessment”.

For all specific instances that are currently IN PROGRESS:

Names of parties	Date received (dd/mm/yyyy)	Is the initial assessment publicly available?	Link to OECD database if available	Did the NCP co-operate with other NCPs during the handling of this specific instance? If yes, please describe.
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Click here to enter text.	Click here to enter a date.	Choose an item.	Click here to enter text.	Click here to enter text.

Additional comments:

Total number of specific instances:

0

For all specific instances that were CONCLUDED during the year:

Title	Date received <i>(dd/mm/yyyy)</i>	Date concluded <i>(dd/mm/yyyy)</i>	Link to OECD database if available	Outcomes achieved	Does the final statement plan for a follow up?	Did the NCP co-operate with other NCPs during the handling of this specific instance? If yes, please describe.
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Additional comments:

Total number of specific instances:

0

For all specific instances that were NOT ACCEPTED during the year:

Title	Date received (dd/mm/yyyy)	Link to OECD database if available	Reasons for not accepting the specific instance:	Did the NCP co-operate with other NCPs during the handling of this specific instance? If yes, please describe.
A natural person on behalf of another natural person vs. two companies of the minerals sector	10-May-2021	Not sent yet	The issue is not material and substantiated	No
			The relevance of applicable law and procedures, including court	
			How similar issues have been, or are being, treated in other domestic or international proceedings	
			Consideration of the specific issue would not contribute to the purposes and effectiveness of the Guidelines	
			Other: The complaint falls outside the scope of the Guidelines	
A juridical person on behalf of a natural person vs. a company of the information services sector	24-Jun-2021	Not sent yet	The issue is not material and substantiated	No
			There was no link between the enterprise's activities and the issue raised in the specific instance.	
			The relevance of applicable law and procedures, including court	
			How similar issues have been, or are being, treated in other domestic or international proceedings	
			Consideration of the specific issue would not contribute to the purposes and effectiveness of the Guidelines	
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Additional comments:

Total number of specific instances:

2

For all specific instances for which FOLLOW UP was performed during the year:

Title	Date concluded (dd/mm/yyyy)	Date of follow up (dd/mm/yyyy)	Was a public follow up statement issued?	Link to the follow up statement, if applicable
Click here to enter text.	Click here to enter a date.	Click here to enter a date.	Choose an item.	Click here to enter text.
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Additional comments:

Total number of specific instances:

0